

**UNITED STATES DISTRICT COURT
FOR THE DISTRICT OF VERMONT**

SCOTT TRAUDT,

Plaintiff,

v.

ARI RUBENSTEIN, GTS SECURITIES
LLC, GTS EQUITY PARTNERS LLC,
GTS EXECUTION SERVICES LLC,
CHARLES W. SCHWAB AND CO. INC.,
SCHWAB HOLDINGS, INC., and
FINANCIAL INDUSTRY
REGULATORY AUTHORITY,

Defendants,

and

GARY GENSLER, US SECURITIES
AND EXCHANGE COMMISSION,

Respondents.

Docket No.: 2:24-cv-782

Judge Christina Reiss

**INDEX OF EXHIBITS TO THE GTS DEFENDANTS' RESPONSE IN OPPOSITION TO
PLAINTIFF'S MOTION TO AMEND THE FIRST AMENDED COMPLAINT**

1. December 10, 2024 Statement by Scott Traudt
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3. June 24, 2024 Statement by Scott Traudt
4. September 30, 2024 Statement by Scott Traudt
5. September 9, 2024 Statement by Scott Traudt
6. November 5, 2024 Statement by Scott Traudt
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9. October 2, 2024 Statement by Scott Traudt

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12. October 31, 2024 Statement by Scott Traudt